

**LAKSHMISHREE INVESTMEN AND SECURITIES LIMITED**

DP ID: 12059100 DP SEBI Regn. No. IN-DP-CDSL- 490-2008

"Shree House" C -29/61-5, Teliyabag Varanasi- 221002

Ph. No. (0542) 6600000, Email: diwakar@lakshmishree.com, Website: www.lakshmishree.com

Pledge Request Form (PRF)

Please fill all the details in Block Letter in English

| | | | | | | | | | | | | | | | | | | | | |
|---------|-----------------|------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| PRF No. | LISPL2021 _____ | DATE | | | | | | | | | | | | | | | | | | |
|---------|-----------------|------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

I / We request you to **Pledge** the following securities held in my / our name in our demat account with you. I / We have read and understood the Depositories ACT, SEBI Regulation, Bye Laws and the Pledge Agreement in relation to Pledge of securities and I / We agree to abide by and be bound by the Act, Regulations, Bye Laws and the Pledge Agreement as are in force from time to time for such pledges.

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|-------------------|---|---|---|---|---|---|---|---|-----------|--|--|--|--|--|--|--|--|--|--|--|
| Pledgor's Details | | | | | | | | | | | | | | | | | | | | |
| DP ID | 1 | 2 | 0 | 5 | 9 | 1 | 0 | 0 | CLIENT ID | | | | | | | | | | | |
| Pledgor's Name | 1 | | | | | | | | | | | | | | | | | | | |
| | 2 | | | | | | | | | | | | | | | | | | | |
| | 3 | | | | | | | | | | | | | | | | | | | |

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|-------------------|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|--|--|--|--|
| Pledgee's Details | | | | | | | | | | | | | | | | | | | | |
| DP ID | | | | | | | | | CLIENT ID | | | | | | | | | | | |
| Pledgee's Name | | | | | | | | | | | | | | | | | | | | |

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|-----------------------|--|---|
| Details of Securities | <input type="checkbox"/> Free Securities | <input type="checkbox"/> Locked – in Securities |
|-----------------------|--|---|

| Details of Securities offered for Pledge. | | | | | | | |
|---|------|--------------|----------|---------------------------|------------------------|--------------------------------|---------------|
| Sr. no | ISIN | Company Name | Quantity | Date of Release (lock-in) | PSN (System Generated) | Accepted / Rejected by Pledgee | Pledged value |
| | | | | | | | |
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Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

| | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|--|--|--------------------|--|--|--|--|--|--|--|--|--|--|--|--|
| Pledge Execution Date | | | | | | | | Pledge Expiry Date | | | | | | | | | | | | |
| Total Pledge Value (Rs.) | | | | | | | | | | | | | | | | | | | | |
| Agreement No. | | | | | | | | | | | | | | | | | | | | |
| Date of Pledging | | | | | | | | | | | | | | | | | | | | |
| Pledge Reason [tick mark whichever is applicable] | Collateral -Debt I issuance by Co. / Grp Co. <input type="checkbox"/> | Collateral for loan by Company/Gro up Co <input type="checkbox"/> | Collateral for loan by the Third Party <input type="checkbox"/> | Margin Pledge/MTF for Exchange Trade <input type="checkbox"/> | Personal use by promoters and PACs <input type="checkbox"/> | | | | | | | | | | | | | | | |
| | Collateral for Self Loan <input type="checkbox"/> | CUSPA Pledge <input type="checkbox"/> | MFOS Pledge <input type="checkbox"/> | | | | | | | | | | | | | | | | | |
| PAN of the Ultimate Lender : | | | | | | | | | | | | | | | | | | | | |

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

| Pledge Rejection Details | Pledge Rejection by Pledgor DP | Pledge Rejection by Pledgee DP |
|--|---|---|
| Date of Rejection of Pledge | | |
| Pledge Reason [tick mark whichever is applicable] | <input type="checkbox"/> 01 Units not available for Amount requested. | <input type="checkbox"/> 01 Units not available for Amount requested. |
| | <input type="checkbox"/> 02 Closure Date not accepted. | <input type="checkbox"/> 02 Closure Date not accepted. |
| | <input type="checkbox"/> 03 Pledged Quantity not accepted. | <input type="checkbox"/> 03 Pledged Quantity not accepted. |
| | <input type="checkbox"/> 04 ISIN not accepted. | <input type="checkbox"/> 04 ISIN not accepted. |
| | <input type="checkbox"/> 05 Security details not acceptable. | <input type="checkbox"/> 05 Security details not acceptable. |
| | <input type="checkbox"/> 06 ISIN delisted from Trading. | <input type="checkbox"/> 06 ISIN delisted from Trading. |
| | <input type="checkbox"/> 07 POA not received from all holders. | <input type="checkbox"/> 07 POA not received from all holders. |
| | <input type="checkbox"/> 08 Holders not acceptable to the pledgee. | <input type="checkbox"/> 08 Holders not acceptable to the pledgee. |
| | <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. | <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. |
| | <input type="checkbox"/> 10 Others. | <input type="checkbox"/> 10 Others. |
| | <input type="checkbox"/> 11 Transfer Quantity not accepted. | <input type="checkbox"/> 11 Transfer Quantity not accepted. |
| | <input type="checkbox"/> 12 Violation of terms of agreement. | <input type="checkbox"/> 12 Violation of terms of agreement. |
| | <input type="checkbox"/> 13 Closure Quantity not accepted. | <input type="checkbox"/> 13 Closure Quantity not accepted. |
| | <input type="checkbox"/> 14 Pledged amount/margin not paid. | <input type="checkbox"/> 14 Pledged amount/margin not paid. |
| | <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. | <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. |

To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO

| | | |
|--------------------------|------------------|-----------------|
| Signature of the Pledgor | | |
| First / Sole Applicant | Second Applicant | Third Applicant |
| | | |

To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

| | | |
|---------------------------|------------------|-----------------|
| Signature of Pledgee(s) * | | |
| First / Sole Applicant | Second Applicant | Third Applicant |
| | | |

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

Depository Participant Seal and Signature